



04-02-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	16896P023300
First Inventor	Nakagaki, Ryou, et. al.
Title	METHOD FOR CLASSIFYING DEFECTS AND DEVICE FOR THE SAME
Express Mail Label No.	EL 630 577 456 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 27]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Pages 5]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.83 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.83(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper number of pages
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ /

Prior application information: Examiner _____


Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 20350 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Townsend and Townsend and Crew LLP				
Address	Two Embarcadero Center, 8 th Floor				
City	San Francisco	State	CA	Zip Code	94111
Country	United States	Telephone	650-326-2400	Fax	650-326-2422

Name (Print/Type)	Steve Y. Cho	Registration No. (Attorney/Agent)	44,612
Signature		Date	3/30/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.
PA 3136826 v1

03/30/01

3-C984 U.S. PTO

09826568-033001

PTO 11046 U.S. PTO

11046 U.S. PTO

11046 U.S. PTO

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number _____
Filing Date March 30, 2001
First Named Inventor Nakagaki, Ryou, et. al.
Examiner Name _____
Group Art Unit _____
Attorney Docket No. 16869P023300

TOTAL AMOUNT OF PAYMENT (\$) 906

METHOD OF PAYMENT		3. ADDITIONAL FEES		FEE CALCULATION (continued)	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 20-1430</p> <p>Deposit Account Name Townsend and Townsend and Crew LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>Large Entity Fee Code (\$)</p> <p>Small Entity Fee Code (\$)</p> <p>Fee Description</p> <p>Fee Paid</p>			
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>127 50 227 25 Surcharge - late filing fee or oath</p> <p>129 130 139 130 Surcharge - late provisional filing fee or cover sheet</p> <p>147 2,520 147 2,520 Non-English specification</p> <p>112 920* 112 920* For filing a request for reexamination</p> <p>113 1,840* 113 1,840* Requesting publication of SIR prior to Examiner action</p> <p>115 110 215 55 Requesting publication of SIR after Examiner action</p> <p>116 380 216 195 Extension for reply within first month</p> <p>117 890 217 445 Extension for reply within second month</p> <p>118 1,390 218 695 Extension for reply within third month</p> <p>128 1,850 228 945 Extension for reply within fourth month</p> <p>119 310 219 155 Extension for reply within fifth month</p> <p>120 310 220 155 Notice of Appeal</p> <p>121 270 221 135 Filing a brief in support of an appeal</p> <p>138 1,510 138 1,510 Request for oral hearing</p> <p>140 110 240 55 Petition to institute a public use proceeding</p> <p>141 1,240 241 620 Petition to revive - unavoidable</p> <p>142 1,240 242 620 Petition to revive - unintentional</p> <p>143 440 243 220 Utility issue fee (or reissue)</p> <p>144 800 244 300 Design issue fee</p> <p>122 130 122 130 Plant issue fee</p> <p>123 90 123 90 Petitions to the Commissioner</p> <p>126 180 126 180 Petitions related to provisional applications</p> <p>581 40 581 40 Submission of Information Disclosure Sheet</p> <p>146 710 246 355 Recording each patent assignment per property (times number of properties)</p> <p>149 710 249 355 Filing a submission after final rejection (37 CFR § 1.129(a))</p> <p>179 710 279 355 For each additional invention to be examined (37 CFR § 1.129(b))</p> <p>169 900 169 900 Request for Continued Examination (RCE)</p> <p>Request for expedited examination of a design application</p>			
<p>1. BASIC FILING FEE</p> <p>Large Entity Fee Code (\$)</p> <p>Small Entity Fee Code (\$)</p> <p>Fee Description</p> <p>Fee Paid</p>		<p>128 1,850 228 945 Extension for reply within fifth month</p> <p>119 310 219 155 Notice of Appeal</p> <p>120 310 220 155 Filing a brief in support of an appeal</p> <p>121 270 221 135 Request for oral hearing</p> <p>138 1,510 138 1,510 Petition to institute a public use proceeding</p> <p>140 110 240 55 Petition to revive - unavoidable</p> <p>141 1,240 241 620 Petition to revive - unintentional</p> <p>142 1,240 242 620 Utility issue fee (or reissue)</p> <p>143 440 243 220 Design issue fee</p> <p>144 800 244 300 Plant issue fee</p> <p>122 130 122 130 Petitions to the Commissioner</p> <p>123 90 123 90 Petitions related to provisional applications</p> <p>126 180 126 180 Submission of Information Disclosure Sheet</p> <p>581 40 581 40 Recording each patent assignment per property (times number of properties)</p> <p>146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))</p> <p>149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))</p> <p>179 710 279 355 Request for Continued Examination (RCE)</p> <p>169 900 169 900 Request for expedited examination of a design application</p>			
<p>2. EXTRA CLAIM FEES</p> <p>Total Claims 22 -20** = 2 X \$18 = \$36</p> <p>Independent Claims 5 -3** = 2 X \$80 = \$160</p> <p>Multiple Dependent Claims 17 X \$10 = \$170</p>		<p>Other fee (specify) _____</p> <p>The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</p>			
<p>101 710 201 355 Utility filing fee</p> <p>106 320 206 160 Design filing fee</p> <p>107 490 207 245 Plant filing fee</p> <p>108 710 208 355 Reissue filing fee</p> <p>114 150 214 75 Provisional filing fee</p> <p>SUBTOTAL (1) (\$710)</p>		<p>128 1,850 228 945 Extension for reply within fifth month</p> <p>119 310 219 155 Notice of Appeal</p> <p>120 310 220 155 Filing a brief in support of an appeal</p> <p>121 270 221 135 Request for oral hearing</p> <p>138 1,510 138 1,510 Petition to institute a public use proceeding</p> <p>140 110 240 55 Petition to revive - unavoidable</p> <p>141 1,240 241 620 Petition to revive - unintentional</p> <p>142 1,240 242 620 Utility issue fee (or reissue)</p> <p>143 440 243 220 Design issue fee</p> <p>144 800 244 300 Plant issue fee</p> <p>122 130 122 130 Petitions to the Commissioner</p> <p>123 90 123 90 Petitions related to provisional applications</p> <p>126 180 126 180 Submission of Information Disclosure Sheet</p> <p>581 40 581 40 Recording each patent assignment per property (times number of properties)</p> <p>146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))</p> <p>149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))</p> <p>179 710 279 355 Request for Continued Examination (RCE)</p> <p>169 900 169 900 Request for expedited examination of a design application</p>			
<p>102 80 202 40 Claims in excess of 20</p> <p>104 270 204 135 Independent claims in excess of 3</p> <p>109 80 209 40 Multiple dependent claim, if not paid</p> <p>110 18 210 9 Reissue independent claims over original patent</p> <p>110 18 210 9 Reissue claims in excess of 20 and over original patent</p> <p>SUBTOTAL (2) (\$196)</p>		<p>128 1,850 228 945 Extension for reply within fifth month</p> <p>119 310 219 155 Notice of Appeal</p> <p>120 310 220 155 Filing a brief in support of an appeal</p> <p>121 270 221 135 Request for oral hearing</p> <p>138 1,510 138 1,510 Petition to institute a public use proceeding</p> <p>140 110 240 55 Petition to revive - unavoidable</p> <p>141 1,240 241 620 Petition to revive - unintentional</p> <p>142 1,240 242 620 Utility issue fee (or reissue)</p> <p>143 440 243 220 Design issue fee</p> <p>144 800 244 300 Plant issue fee</p> <p>122 130 122 130 Petitions to the Commissioner</p> <p>123 90 123 90 Petitions related to provisional applications</p> <p>126 180 126 180 Submission of Information Disclosure Sheet</p> <p>581 40 581 40 Recording each patent assignment per property (times number of properties)</p> <p>146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))</p> <p>149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))</p> <p>179 710 279 355 Request for Continued Examination (RCE)</p> <p>169 900 169 900 Request for expedited examination of a design application</p>			
<p>**or number previously paid, if greater; For Reissues, see above</p>		<p>*Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (3) (\$)</p>			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steve Y. Cho	Registration No. (Attorney/Agent)	44,612
Signature	<i>Steve Y. Cho</i>	Telephone	650-326-2400
		Date	3/30/01

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